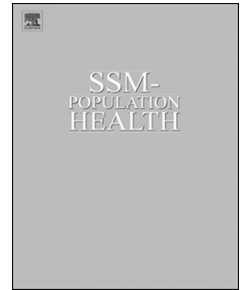


# Accepted Manuscript



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PII: S2352-8273(18)30104-6

DOI: <https://doi.org/10.1016/j.ssmph.2019.100420>

Article Number: 100420

Reference: SSMPH 100420

To appear in: *SSM - Population Health*

Received Date: 21 May 2018

Revised Date: 28 May 2019

Accepted Date: 29 May 2019

Please cite this article as: Kim M., King M.D. & Jennings J., ADHD remission, inclusive special education, and socioeconomic disparities, *SSM - Population Health* (2019), doi: <https://doi.org/10.1016/j.ssmph.2019.100420>.

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# ADHD Remission, Inclusive Special Education, and Socioeconomic Disparities

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The authors declare that they have no relevant or material financial interests that relate to the research described in this paper.

# ADHD Remission, Inclusive Special Education, and Socioeconomic Disparities

## Abstract

To understand how institutional environments and socioeconomic backgrounds may influence health outcomes, we examined the relationship among special education environments, socioeconomic status (SES), and likelihood of ADHD remission in children. While the majority of children experience remission by adulthood, the likelihood of remission varies across different SES levels and education environments. We find that for low SES children the likelihood of remission is higher in states that have more inclusive special education regimes. In contrast, for more advantaged children, the odds of remission do not depend on the level of special education inclusivity. Our findings suggest that providing more inclusive education can reduce disparities in behavioral disorders and are particularly important for less advantaged children. In doing so, this study contributes to the fundamental cause and health inequality literature by adding to a growing body of work showing how institutional environments can affect socioeconomic gradients in health treatment and outcomes.

ADHD; Remission; Inclusive special education; Socioeconomic status; Institutional environment; Health inequalities

1 Three out of four children diagnosed with Attention Deficit Hyperactivity Disorder will no  
2 longer meet the diagnostic criteria for ADHD by adulthood (Agnew-Blais et al., 2016). Evidence  
3 about the importance of family context and economic advantage on long-term outcomes of  
4 children with ADHD is mixed (Agnew-Blais et al., 2016; Faraone et al., 2006). To date, little is  
5 known about how institutional and educational environments may influence the likelihood of  
6 ADHD remission. ADHD remission is known to be associated with improved cognitive  
7 functioning such as better attention-vigilance and error detection (Michelini et al., 2016; Cheung  
8 et al. 2016). Given the long-term consequences of persistent ADHD, further research  
9 investigating whether and how socioeconomic advantage and social context may translate into an  
10 increased likelihood of remission is warranted.

11

12 A voluminous literature has documented a link between socioeconomic status and health  
13 outcomes. For the vast majority of conditions there exists a negative socioeconomic gradient  
14 such that individuals with fewer economic resources are more likely to experience adverse health  
15 outcomes or early mortality (Link and Phelan, 1995; Link et al., 1998). Individuals of higher  
16 socioeconomic status draw upon their relatively greater income, education, and social  
17 connections to access new services and disproportionately benefit from medical advances  
18 (Chang and Lauderdale, 2009; King and Bearman, 2011). This literature would anticipate that  
19 economically advantaged children should be more likely than their less advantaged peers to  
20 experience ADHD remission.

21

22 Institutional environments can also profoundly affect individuals' physical and mental health,  
23 and thus have the ability to exacerbate or attenuate health inequalities (Freese & Lutfey, 2011).

1 Research examining the social determinants of health has documented the significant role that  
2 prisons, schools, and work environments have on health outcomes (Cutler and Lleras-Muney,  
3 2006; Moen et al., 2011; Ross and Wu, 1995; Schnittker and John, 2007). Schools themselves  
4 have been demonstrated to have an important impact on myriad children's health outcomes  
5 including: weight and body mass (Martin et al., 2012; Miller, 2011; Mueller et al., 2010),  
6 substance use (De Clercq et al., 2014; Turner et al., 2006), risk behaviors (Pavic Simetin et al.,  
7 2013), stress (Oberle and Schonert-Reichl, 2016), psychosomatic and depressive symptoms  
8 (Elovainio et al., 2011; Walsemann et al., 2011), and emotional and behavior problems (Dufur, et  
9 al., 2008; Nielsen et al., 2015; Saab and Klinger, 2010).

10

11 With respect to ADHD, schools in particular play an important role in both the diagnosis and  
12 treatment of ADHD. In the absence of biomarkers or blood tests for ADHD, diagnoses are made  
13 based on symptomatic presentation and evaluations by teachers and parents. Teachers are often  
14 the first to suggest a diagnosis of ADHD. Beyond the level of the classroom, scholar have  
15 documented that school accountability policies, which scrutinized schools academic  
16 performance, are associated with an increased likelihood of ADHD diagnosis and subsequent  
17 medication use (Bohkari and Schneider 2011). Work by King and colleagues (2014) found that  
18 economically advantaged children were more likely than their less advantaged peers to  
19 selectively use ADHD medications in response to academic pressure and school accountability.  
20 Thus, schools may play a key role in explaining variation in ADHD prevalence and remission.

21

22 The past decade has seen a dramatic rise in behavioral disorders diagnosed in childhood.  
23 Prevalence rates of ADHD, autism, and bipolar disorder have increased precipitously (Blumberg

1 et al., 2013; Hinshaw and Scheffler, 2014; Visser et al., 2014). Consistent with the fundamental  
2 cause literature, strong SES gradients exists for each of these conditions (King and Bearman,  
3 2011; Visser et al., 2014). Our work adds to a growing body of literature that highlights the  
4 importance of social context and institutional conditions for understanding both the steep  
5 increase in prevalence of childhood behavioral disorders, as well as their socioeconomic  
6 gradients (Hinshaw and Scheffler, 2014). While this literature has expanded our understanding of  
7 how social contexts have contributed to rising prevalence rates, little research has examined the  
8 role that social and institutional conditions play in remission rates. Our study contributes to the  
9 literature by showing that special education can either mitigate or exacerbate health inequalities  
10 depending on how institutions execute the provision. Understanding how institutions may  
11 exacerbate or mitigate health inequalities through differential remission patterns has important  
12 implications for the literature on health and inequality, as well as public policy.

13  
14 This study examines the association between socioeconomic status, educational environments  
15 and ADHD remission. Educational environments profoundly shape the likelihood of ADHD  
16 remission- but only for less advantaged children. Remission rates of socioeconomically  
17 advantaged children do not vary significantly across special education regimes. Creating more  
18 inclusive special education environments appears to be a key step towards reducing ADHD  
19 disparities.

20

## 21 **ADHD Prevalence and Remission**

22 ADHD is defined as a “persistent pattern of inattention and/or hyperactivity-impulsivity that is  
23 more frequently displayed and is more severe than is typically observed in individuals at

1 comparable level of development” (DSM IV [American Psychiatric Association 2000]). ADHD  
2 is the most common psychiatric disorder among children in the United States. Nationally, more  
3 than 11% of school-aged youth have been diagnosed with ADHD (Visser et al., 2014).  
4 Prevalence rates have risen remarkably in recent years, increasing by more than 40% between  
5 2003 and 2011 alone. There is also considerable geographic variability in how frequently  
6 children are diagnosed with ADHD. The percent of school-aged children diagnosed with ADHD  
7 varies from a high of 18.7 percent of children in Kentucky to a low of 5.6 percent of children in  
8 Nevada (Visser et al., 2014). A large body of work has documented higher rates of ADHD  
9 among lower-SES youth (see Russell et al., 2015 for review). For instance, data from the  
10 National Center of Health Statistics found that  
11 ADHD prevalence was higher (10.4%) for children whose family’s annual income is less than  
12 200% of the federal poverty level than for higher SES children whose families were above the  
13 200% threshold (8.8%) (Psychiatric Advisor, 2015). Evidence of rapidly rising prevalence rates,  
14 geographic variability, and a strong socioeconomic gradient suggest that social and institutional  
15 factors are important drivers of ADHD diagnosis.

16  
17 Despite considerable scholarly effort directed toward understanding the diagnostic variability,  
18 very little is known about what social and institutional conditions are associated with ADHD  
19 remission. To explain rapid changes in the measured prevalence of any health-condition, one  
20 needs to account for not only how patients obtain the diagnosis but also how they lose the  
21 diagnosis. This paper aims to suggest that socioeconomic status — an individual-level factor —  
22 and educational environment — an institutional factor — interact to shape remission rates of  
23 children with ADHD. Socioeconomic status has long been identified as a fundamental cause of

1 health conditions, creating negative gradients for most health outcomes (Link & Phelan 1995).  
2 This is not only because lower socioeconomic status groups likely have greater exposure to risk  
3 factors but also because they lack access to treatment options. Accordingly, we expect that lower  
4 SES families will have difficulties accessing and negotiating treatment options. When families  
5 lack the resources to secure adequate treatment for their children, behavioral interventions  
6 available at school could potentially help mitigate disparities.

7  
8 Our work highlights the potential role of educational environments in creating ADHD remission  
9 gradients. Many treatment modalities of ADHD require parental engagement. However, the  
10 sociology of education literature has established that parental engagement may effective for  
11 children's educational achievements only when the parents have an adequate cultural  
12 understanding of classroom expectations (e.g., McNeal Jr. 2001; Calarco, 2014), thereby  
13 producing disadvantages for less advantaged families. In the case of special education, parents  
14 with lower socioeconomic status are less likely to participate in school activities and to have  
15 expectations that their children will successfully graduate from high school, which has a positive  
16 impact on children's academic achievement (Zhang et al. 2011). Because of these differences in  
17 parental engagement, when families must individually negotiate to obtain the best possible  
18 accommodations for their children, children from less advantaged families are less likely to  
19 benefit. On the other hand, if special education regimes are more inclusive and prone to provide  
20 adjustments for children's individual needs, children from lower socioeconomic backgrounds  
21 will benefit.

22



1 Roughly, 80 percent of children diagnosed with ADHD no longer meet the full diagnostic  
2 criteria by the end of adolescence (Agnew-Blais et al., 2016; Biederman et al., 2012; Faraone et  
3 al., 2006; Kessler et al., 2005). Risk factors associated with ADHD persistence are childhood  
4 symptomatic severity (Agnew-Blais et al., 2016; Biederman et al., 2012; Cheung et al., 2015;  
5 Kessler et al., 2005), psychiatric comorbidity and family history of mental disorders (Biederman  
6 et al., 2011; Biederman et al., 2012), and IQ (Agnew-Blais et al., 2016; Cheung et al., 2015).

7  
8 Evidence of an association between family socioeconomic status and remission is mixed.  
9 Cheung and colleagues (2015) found that higher socioeconomic status is associated with a  
10 decline in ADHD symptoms over time, while others did not find such association (Agnew-Blais  
11 JC et al., 2016; Biederman et al., 2011; Biederman et al., 2012; Kessler et al., 2005).

12  
13 Treatment of ADHD, however, is of vital importance since ADHD poses serious academic and  
14 social challenges (Loe & Feldman, 2007; Arnold et al., 2015). Successfully managing ADHD  
15 symptoms not only contributes to successful schooling but also may affect employment  
16 outcomes.

17

### 18 **Treatment of ADHD among children and adolescents**

19 Treatment of children and adolescents with ADHD can be broadly classified into medical  
20 treatment and psychosocial interventions (Chronis et al., 2006). Meta-analyses have found that  
21 medication combined with behavioral interventions are the most successful treatment for  
22 managing ADHD symptoms. Medication is the most common method of addressing ADHD  
23 symptoms. In 2011, 69 percent of children with ADHD took at least one prescription medication

1 to manage symptoms (Visser et al., 2014). Most research on ADHD treatment has consistently  
2 shown that medication manages ADHD symptoms effectively (for review, see Swanson et al.,  
3 2011). Stimulants improve academic performance, decrease aggression and inappropriate  
4 behavior (Chronis et al., 2006) and facilitate social relationships with peers (Whalen et al.,  
5 1989). These effects of pharmacological treatment of ADHD, however, tend to be short-lived.  
6 Medication only suppresses symptoms of ADHD instead of curing the underlying disorder. Little  
7 is known about long-term effectiveness of medication (Chronis et al., 2006; Craig et al., 2015;  
8 Smith et al., 2000), suggesting that pharmacological treatment alone may be insufficient to cause  
9 ADHD remission.

10

11 There are three major modes of psychosocial treatment: family-, peer-, and school-based  
12 interventions. Family-based interventions target parents as the foci of behavioral treatment and  
13 are designed to train parents to promote accurate understanding of ADHD symptoms and  
14 treatment as well as to modify parenting behaviors. Second, peer-based interventions such as  
15 social skills training and summer treatment programs are designed to help improve the social  
16 functioning of children and adolescents with ADHD (Chronis et al., 2006). Finally, school-based  
17 interventions are designed to improve student's performance at school. Teachers can use  
18 behavioral modification strategies to cater special needs of students with ADHD. Specifically,  
19 teachers can help students with ADHD to perform academic tasks better by giving them  
20 additional academic instructions or materials, structuring homework time, modifying  
21 instructions, collaborating with parents as well as having children with ADHD use goal-setting,  
22 peer tutoring, computer-assisted instruction, and strategy training (Chronis et al., 2006).  
23 Successful implementation of classroom behavior management depends on teachers' willingness

1 to give additional academic instructions and to implement special accommodations and  
2 modifications as well as environmental factors that enable teachers and paraeducators to help  
3 students with special needs.

4 School-based interventions may help ameliorate or exacerbate treatment disparities. Other  
5 treatment modalities are often stratified by socioeconomic status. First, pharmacological  
6 treatment trajectories differ for low and high SES children. That is, low SES children are less  
7 likely to adhere to prescribed medications (Brown et al., 1987; Firestone, 1982). Second, the  
8 outcomes of behavioral treatment are also stratified by SES. Low SES children did not show  
9 substantial improvement when receiving a combination of medication and behavioral treatment,  
10 whereas high SES children's symptoms were significantly improved when they received  
11 combined treatment, rather than medication alone (Rieppi et al. 2002). Such differential  
12 outcomes may partially have driven by the level of parental engagement. Note that some, if not  
13 most, of the school-based treatment methods require a fair amount of collaboration between  
14 teachers and parents. However, consistent participation in parental training has been found to be  
15 more difficult for parents with fewer economic resources (Firestone and Witt, 1982). They are  
16 also less likely to engage in school activities (Zhang et al. 2001). As a result, the effect of family-  
17 based interventions is stratified (McMahon et al., 1981). When greater familial advocacy or  
18 participation is necessary for children to receive optimal behavioral interventions in school, less  
19 economically advantaged children may not fully benefit. On the other hand, schools can be an  
20 important arena for less advantaged children to receive behavioral therapy and have the  
21 opportunity to develop skills. In this paper, we focus on how differences in school-based  
22 treatment processes across states could affect low and high SES children differently, thereby,  
23 contributing to SES gradients.

1

## 2 **School-based Interventions**

3 The Individuals with Disabilities Education Act (IDEA) governs the provision of all special  
4 education services in the United States (deBettencourt, 2002). IDEA ensures that students with  
5 disabilities are provided with the same educational opportunities as their non-disabled peers.  
6 IDEA requires public schools to offer free services to meet the educational needs of children  
7 with disabilities and provides federal funding to states. At the heart of the IDEA is the  
8 individualized education program (IEP). For each child eligible for special education services,  
9 public schools are required to develop and implement an individualized education plan in order  
10 to meet her unique special needs. An IEP details the current status of a child including academic  
11 performance and obstacles stemming from her disability as well as a special education plan  
12 including services, special accommodations and modifications to be provided, the schedule, and  
13 measurable annual goals and objectives (Dragow et al., 2001). A child is eligible for special  
14 education services under the IDEA if her IEP team finds that she has one or more disabilities.  
15 Although ADHD alone does not constitute a distinct disability category, it is listed as a condition  
16 under the “other health impairment.”

17

18 One of the important decisions in an IEP development is where to place the child. The IEP team  
19 determines the educational environment based on the child’s IEP – i.e. unique educational needs  
20 of the child (34 C.F.R. 300.116(b)(2)). Importantly, the federal law, Part B of the IDEA, requires  
21 that schools must pursue full inclusion of children with disabilities in regular classroom with  
22 their non-disabled peers by providing appropriate accommodations, modifications, and  
23 supplementary aids and services. If such attempts do not work, schools may place children in  
24 separate classrooms while ensuring the children are educated along with their non-disabled peers

1 to the maximum extent appropriate. The least restrictive environment, along with the IEP, is an  
2 important principle of special education provision under the IDEA. Accordingly, the  
3 implementation of an IEP and its least restrictive environment provision is one basis of the  
4 federal funding allocation.

5

### 6 **The Least Restrictive Environment, Inclusive Special Education, and Remission from** 7 **ADHD**

8 Research suggests that for children with various types of disabilities inclusive special education  
9 has positive effects on academic achievement (Banerji and Dailey, 1995; Cosier et al., 2013; Rea  
10 et al., 2002; Waldron and McLeskey, 1998), social functioning (Fisher and Meyer, 2002; Fryxell  
11 and Kennedy, 1995; Kennedy et al., 1997; Rea et al., 2002), affective gains (Banerji and Dailey,  
12 1995), and behavioral outcomes (Rea et al. 2002). Whereas children in restrictive environments  
13 are self-contained in special education classrooms, those educated in inclusive environments can  
14 generalize principles learned from behavioral interventions to broader, more general academic  
15 and social settings as they interact with peers with no disabilities (Chronis et al. 2006), resulting  
16 in improved academic achievement, social functioning, and behavioral outcomes.

17

### 18 **The Interpretation of the Least Restrictive Environment, Parental Involvement in Special** 19 **Education Provision, and the Geographic Variation in ADHD Gradient**

20 The least restrictive environment guideline is a guiding principle rather than a specific  
21 requirement. Because the least restrictive environment is open for interpretation, one would  
22 expect the degree of inclusive placement could vary across geographic areas. Empirical  
23 examinations of placement of children with disabilities found that inclusion varies widely across

1 states (Danielson and Bellamy, 1989; McLeskey and Henry, 1999). Existing, taken-for-granted  
2 practices of educational communities drive placement decisions (Kurth and Mastergeorge, 2010).

3  
4 Parents are another driver of placement decisions. Parents of children with disabilities play a  
5 significant role in writing and implementing IEPs and their cooperation with teachers is of great  
6 importance in the IDEA provision (Palley, 2004). Parents can influence the special education  
7 provision process in numerous ways: they can initiate an evaluation of their children's eligibility  
8 under the IDEA, influence the IEP eligibility decision, negotiate disability labels, and advocate  
9 for more inclusive educational environments for their children, and so forth.

10  
11 Although all parents have the right to advocate for better educational environments for their  
12 children, not all parents are able to do so. For example, Lalvani (2012) found that high SES  
13 parents are more likely to be aware of inclusive education, successfully negotiate access to  
14 inclusive education, and expend time, effort, and resources to negotiate the IEP. As a result, the  
15 extent to which special education services meet the needs of students with ADHD is stratified by  
16 socioeconomic status such that low SES children with ADHD are more likely to have unmet  
17 needs than high SES counterparts (Bussing et al., 1998) when inclusivity is not the default.

18  
19 Therefore, we expect that high SES children's remission likelihood will be invariant across  
20 educational regimes. This is because, on the one hand, high SES parents educating their children  
21 in restrictive environments likely negotiate their children's placement and increase time spent in  
22 regular classrooms. On the other hand, even when their children are placed in self-contained  
23 classrooms, high SES parents can seek alternative treatment options outside the school. It is,

1 however, unlikely that low SES parents negotiate placement or provide quality care outside the  
2 school. For low SES children, the institutional environment of special education regime can be  
3 critical.

#### 4 5 **Data and Methods**

6 We analyzed data from the National Survey of Children's Health (NSCH). The NSCH is a  
7 telephone survey designed to provide national and state-specific prevalence estimates for non-  
8 institutionalized children ages 0-17 on physical, emotional, and behavioral health indicators, as  
9 well as indicators of children's experiences with the health care system. Repeated cross-sectional  
10 data were collected in 2003-2004, 2007-2008, and 2011-2012. The total sample sizes in these  
11 respective years were 102,353, 91,642, and 95,677, with approximately 1800 surveys per state  
12 per year.

13  
14 The outcome of interest is ADHD remission. Ideally, we would want to observe a child with  
15 ADHD over time and identify whether and when the child drops ADHD symptoms. However,  
16 because NSCH data is cross-sectional, we do not have repeated observations of same children.  
17 Instead, we exploited the survey design of the NSCH. In 2007-2008 and 2011-2012, the NSCH  
18 included two different questions about ADHD diagnostic status. The first question asks whether  
19 a surveyed child has *ever* been diagnosed with ADHD. For children who have been diagnosed,  
20 the second question asks if they still maintain diagnosis at the time of survey. Previous research  
21 has established that parental reports of ADHD diagnosis are extremely accurate and reliable  
22 measures of physician diagnosis with a sensitivity of 94 and a positive predictive power of 98  
23 (Faraone et al 1995). We excluded 2003-2004 survey from analysis because the second question

1 about the current diagnostic status was not asked. We further restricted our sample to 2007-2008  
2 data because some state-level control variables are only available for 2007. Among respondents  
3 of 2007-2008 survey, we limited our analyses to children who have ever been diagnosed with  
4 ADHD and estimated the likelihood of losing diagnosis. We consider children who report having  
5 ever been—but not currently—diagnosed with ADHD as experiencing remission. Overall, 22  
6 percent of our sample experienced remission. Among 17-year-olds, the remission rate was 70  
7 percent. Prior research has found similar remission rate for adolescents. For instance, a recent  
8 study by Agnew-Blais JC and colleagues (2016) found roughly 75 percent of children with  
9 ADHD lose their diagnosis by adulthood.

10

11 Of central interest are the relationship between socioeconomic status, educational environment,  
12 and ADHD remission. The NSCH provides socioeconomic status (SES) information as a  
13 categorical variable. Eight SES categories were defined with respect to the federal poverty line  
14 (FPL): below 100 percent of FPL, above 100 percent to at or below 133 percent, above 133  
15 percent to at or below 150 percent, above 150 percent to at or below 185 percent, above 185  
16 percent to at or below 200 percent, above 200 percent to at or below 300 percent, above 300  
17 percent to at or below 400 percent, and above 400 percent of FPL. Out of these eight categories,  
18 we aggregate six middle categories into one group. That is, we defined a family as low SES if its  
19 household income falls between 0 to 99 percent of the FPL, as high socioeconomic status if its  
20 income exceeds 400 percent of the FPL, and as middle socioeconomic status otherwise. We  
21 chose to aggregate the middle groups because our interest lies in examining comparing how low  
22 SES children respond to inclusive education and whether their reaction differs from high SES  
23 children's. To ensure that our choice of trichotomy does not affect the results, we ran additional



1 analysis using eight categories for socioeconomic status as provided in the NSCH data and found  
2 that the results do not change. We report the results using three SES categories for conciseness.

3  
4 As a potential source of variation in the likelihood of remission, we examine special education  
5 environments. To this end, we further restricted our analyses to school-aged children and  
6 adolescents. To measure special education environment, we used data available in the U.S.  
7 Department of Education's online data archive (U.S. Department of Education, 2010). The  
8 online archive provides information about special education provisions submitted annually by  
9 states to the Department of Education in compliance with Section 618 of the IDEA. To classify  
10 inclusive special education environments, we relied on the time children with disabilities spent in  
11 regular versus self-contained special education classrooms. States report the number of children  
12 belonging to each of the following three categories: inside regular classroom 80 percent or more  
13 of the day, inside regular classroom 40 percent to 79 percent of day, and inside regular classroom  
14 less than 40 percent of day. We included all fifty states and the District of Columbia in our  
15 analyses.

16  
17 To collect information on potential state-level confounders, we relied on several external  
18 sources. First, to measure academic accountability policies, we borrowed Dee and Jacob's (2011)  
19 coding of accountability systems. Their coding scheme identifies 30 states that had their own  
20 accountability systems in place prior to the introduction of No Child Left Behind (NCLB) in  
21 2002. Second, we account for state-level variation in bills and resolutions that prohibit teachers  
22 and school personnel from coercive labeling of children with mental disorders and  
23 recommending psychiatric treatment including psychotropic medication. This information came

1 from AbleChild's website. We coded whether each state passed a bill or resolution prior to the  
2 introduction of a federal-level ban in 2004. Fourteen states instituted bans before the federal act.  
3 In addition, we included data on the percent of the population in a state with health insurance  
4 from the 2010 census. The number of psychiatrist per 1,000 which was calculated with data from  
5 the American Medical Association.

6

### 7 *Descriptive Analyses*

8 First, we describe ADHD prevalence among children with different socioeconomic backgrounds.  
9 To do so, we plotted the percent of children who were currently diagnosed with ADHD,  
10 stratified by socioeconomic status. Next, we examined ADHD remission rates by socioeconomic  
11 status and special education environment. We coded a state's special education environment as  
12 inclusive if children with disabilities spend considerable amount of time in general classrooms  
13 and little time in self-contained, separate classrooms. Specifically, we measured the proportion  
14 of children spending less than 40 percent of the day in general classroom and reverse-coded the  
15 proportion to denote inclusiveness. The Department of Education provides breakdown of time  
16 spending data by thirteen disability categories. Children with ADHD are eligible for special  
17 education service under the "other health impairment" category. However, we aggregated  
18 information across all the disability categories. This is because of the high rate of co-morbidities  
19 of ADHD with other disabilities. In fact, two thirds of students in the "other health impairment"  
20 category had ADHD as did almost 60 percent of children in the emotional disturbance category,  
21 one fifth of students in intellectual disability category, and four percent of students in the specific  
22 learning disability category (Schnoes et al., 2006). Because children with ADHD are likely to  
23 receive care under other categories due to co-morbidities, we used the overall placement pattern

1 as an indicator of a given state's general stance toward inclusive special education. We log-  
2 transformed "inclusive special education environment" because of skewness and mean-centered  
3 to facilitate interpretation. For plotting, we categorized states into three groups based on the  
4 extent to which their special education is inclusive. Top third states ( $0.20 \leq$  and  $\leq 1.27$ ) were  
5 coded as the "most inclusive" and the bottom third states ( $-0.90 \leq$  and  $< -0.176$ ) as the "least  
6 inclusive."

7

### 8 *Logistic Regression Models*

9 To examine whether special education environments are associated with the likelihood of ADHD  
10 remission, we estimated logistic regressions for school-aged children and adolescents. Our aim in  
11 this analysis is to examine whether low and high SES children's remission rates are associated  
12 with special education environments after controlling for confounders.

13

14 The dependent variable, "remission from ADHD," is an indicator variable that takes one if a  
15 surveyed child, who had been diagnosed with ADHD, no longer has ADHD at the point of  
16 survey. Our independent variables of interest are the inclusiveness of a state's special education  
17 and child's SES. To examine whether special education environments are more or less important  
18 for children from less advantaged backgrounds, we include an interaction between individual  
19 SES and state special education environment.

20

21 We included several individual-level covariates that are expected to correlate with special  
22 education environment, SES, and remission of children with different SES. First, we included  
23 demographic variables such as age, sex, and race as control variables in all models. Research has

1 been documented that remission rate increases with age (Biederman et al., 2000). We included  
2 an indicator variable for sex and a categorical variable of race because different groups might  
3 have varying levels of access to treatment and adherence to treatment. Female and white were  
4 reference groups. Second, we controlled for insurance type because high SES children are more  
5 likely to have insurance with better coverage, which in turn, increases the odds of remission.  
6 There were three types of insurance in the NSCH data: private, public, and not-insured. Privately  
7 insured is the reference category. Third, family structure was included because of its potential  
8 association with children's educational outcomes. Living with two biological parents was the  
9 reference category. Fourth, we controlled for type of school because high SES children are more  
10 likely to attend private schools and public and private schools provide different services and  
11 contexts for children with ADHD. Four types of school were used: attending private school,  
12 attending public school, home-schooled, and not enrolled. Attending public school was the  
13 reference category. Last, co-morbidity was included in the analysis as a proxy for a child's  
14 severity of behavioral disorder. To control for co-morbidity, we included indicator variables that  
15 for each of the following mental and behavioral disorders: depression, anxiety problems,  
16 behavioral or conduct problems, autism spectrum disorders, developmental delay, speech  
17 problems, and learning disability. The indicator variables were coded as one if a child has been  
18 diagnosed with the respective disorder.

19  
20 In addition, we controlled for potential state-level confounders. First, we included the NCLB  
21 treatment as a control variable. The NCLB treatment is an indicator variable and was coded as  
22 one if a state had no accountability system prior to the NCLB and hence, the NCLB was a  
23 "treatment" to the state's education regime. When accountability pressure is recently introduced,

1 teachers and school personnel might want to keep distracted children in self-contained  
2 environments to increase the academic performance of “regular” students (Cawelti 2006). Such  
3 tendency will affect both the inclusiveness of special education and remission rates. Second, we  
4 controlled for the psychiatric service recommendation ban. This is an indicator variable and was  
5 coded as one if a state had had any prohibition bill or registration against teachers’ and school  
6 personnel’s recommendation of psychiatric diagnosis, treatment, or medication before the federal  
7 ban was introduced in 2004. When school personnel’s or teachers’ recommendation is permitted,  
8 they might do so to parents in order to manage classrooms more easily and improve the academic  
9 performance of children. States that had prohibited treatment recommendations before the  
10 federal ban are likely to have been favoring the use of behavioral treatment and accommodations  
11 for children with special needs, and therefore, may already have narrowed the remission gap  
12 between low and high SES children. Third, we controlled for several potential confounders that  
13 can affect low SES children’s access to treatment. These variables include the proportion of  
14 insured children, the number of psychiatrist per 100,000 children, and income per capita (i.e.,  
15 overall resourcefulness of the state).

16  
17 To examine the relationship between remission rates, SES and special education regimes, we ran  
18 three sets of analyses to ensure our findings are robust to modeling choices. We first ran a set of  
19 logistic regressions stratified by SES. In the stratified models, our goal is to determine whether  
20 the association between the odds of remission and inclusive special education are different  
21 among high and low SES samples. Next, to ensure the same pattern holds with the complete  
22 sample, we ran a logistic regression model using cases across all SES levels and added  
23 interaction terms between SES and inclusive special education. The goal of this analysis is to test

1 whether the odds ratio of the interaction term between inclusive education and low SES category  
2 is significantly greater than one, which would suggest that the odds of low SES children's  
3 remission increase at a faster rate as a function of inclusive education than those of high SES  
4 children's. In both stratified and interaction models, we clustered standard errors by states  
5 because our independent variable as well as some s control variables are state-level covariates.  
6 Finally, we ran a random-intercept multilevel logistic regression to account for the facts that  
7 children are nested in states. In estimating the interaction effect between an individual-level and  
8 a state-level covariate – in our case, the interaction between low SES and inclusive education –,  
9 multilevel modeling accounts for the fact that children are nested in states and therefore, error  
10 terms are likely correlated as such. In the interaction and multilevel models, we use high SES as  
11 the reference category.

12

### 13 **Results**

14 To explore whether ADHD gradient exists, we first plotted the percent of children with ADHD  
15 by SES group. In 2007-2008 survey, 8 percent of children were reported to currently have  
16 ADHD. Figure 1 indicates that higher fraction of low SES children has ADHD than their more  
17 advantaged peers. Of families with household income below the federal poverty line (low SES  
18 families), 11.33 percent reported that their children currently have ADHD whereas 7.19 percent  
19 of families with household income above 400 percent of the federal poverty line (high SES  
20 families) reported that their children have the diagnosis. This difference in ADHD prevalence  
21 between high and low SES groups was statistically significant ( $t = 10.98, p < 0.001$ ), suggesting  
22 that the overall gradient in ADHD prevalence be negative.

1

2

&lt;Insert Figure 1 about here&gt;

3

4 Next, we plotted remission rates of high and low SES children by special education regime.  
5 Figure 2 shows that low SES children's remission rates are higher in most inclusive states than in  
6 least inclusive states. Although the confidence intervals for the inclusive and restrictive states  
7 overlap, a t-test with independent samples revealed that the group means for the two group were  
8 significantly different from each other ( $t = 1.97, p < 0.05$ ). In contrast, high SES children's  
9 remission rates did not significantly differ in more and less inclusive states ( $t = -0.92, p = 0.179$ ).

10

11

&lt;Insert Figure 2 about here&gt;

12

13 Finally, to ensure that the relationship between remission, SES, and special education  
14 environment holds after controlling for potential confounders, we turned to regression models.  
15 Table 1 summarizes descriptive statistics of variables used in statistical analysis. The overall  
16 remission rate was 22 percent. For the purpose of easier interpretation of effect sizes, we  
17 standardized inclusive education. To put the standardized measure into perspective, mean  
18 inclusive education indicates that special-education children in those states spend roughly 11  
19 percent of their time at school in self-contained classrooms. One-standard deviation above the

1 mean translates into roughly 8 percent of time in self-contained environments, and one-standard  
2 deviation below the mean stands for roughly 18 percent of time in such restrictive settings.

3 We employed three sets of logistic regressions: 1) stratified models, 2) an interaction model, and  
4 3) a random-intercept multilevel model. Models 1 and 2 of Table 2 shows that among high and  
5 middle class children, there are no significant differences in remission rates across special  
6 education regimes. In contrast, Model 3 suggests that the remission rate of low SES children of  
7 inclusive states is on average by 1.3 times higher than that of restrictive states (OR: 1.259,  $p <$   
8 0.01).

9 We find the same pattern in a logistic regression model with interaction effects. Model 4 of  
10 Table 2 indicates that the effect of inclusive special education on remission rate is much larger  
11 among low SES children than among high SES children (OR: 1.294,  $p < 0.01$ ). Figure 3 plots the  
12 interaction effects between SES and special education regime. Low SES children are more likely  
13 to experience remission when educated in more inclusive environments whereas high SES  
14 children's remission rate is relatively invariant across special education environments. In the  
15 range of the least and most inclusive states, the remission rate increased from 22 percent to 20  
16 percent, and the difference was insignificant statistically. The effect size of inclusive  
17 environment for low SES children is large – holding other variables at means, the remission rate  
18 of low SES children is estimated to larger by two-fold in more inclusive states (defined as two-  
19 standard deviation above the mean) than that in less inclusive states (defined as two-standard  
20 deviation below the mean). In other words, low SES children's remission probability in more  
21 inclusive state is estimated to be 32 percent while that in less inclusive state is 16 percent. Thus,  
22 inclusive educational environments appear to be particularly beneficial to disadvantaged  
23 children. Finally, we ran a random-intercept multilevel logistic regression model to confirm that



1 our results hold even after we account for the fact that children are nested in states. When we  
2 compare Model 4 and Model 5, the odds ratios of the interaction term between low SES and  
3 inclusive education environment are very similar although the multilevel model has a wider  
4 confidence interval (Model 4 – OR: 1.294,  $p < 0.01$ ; Model 5 – OR: 1.290,  $p < 0.05$ ). In sum,  
5 inclusive special education environments facilitate low SES children’s remission whereas high  
6 SES children do not respond to state-level variation in special education regimes.

7

8

&lt;Insert Table 1 about here&gt;

9

10

&lt;Insert Figure 3 about here&gt;

11

12

### 13 **Discussion**

14 Special education environments are associated with differential remission rates among more and  
15 less advantaged children. While high SES children do not respond significantly to special  
16 education environments, low SES children educated in inclusive settings have a better prognosis  
17 than their peers in restrictive environments. This suggests that schools may be particularly  
18 important in ameliorating disparities in childhood behavioral disorders.

19

1 Our study has several limitations. First, we rely on cross-sectional data on ADHD remission at  
2 the individual level in conjunction with educational environment data at the state level. Ideally,  
3 we would use repeated observations of same individuals over time and trace their ADHD  
4 diagnosis and remission as well as their placement in special education environments, rather than  
5 relying on reported changes in diagnosis. The cross-sectional nature of the NSCH data and our  
6 measure of special education environments at the state level limit our ability to identify clear  
7 causal relationship. With longitudinal observations on children's mental health in combination  
8 with their placement data, one could make stronger causal claim about the relationship among  
9 differences in the utilization of special education services by families with different  
10 socioeconomic background, remission from ADHD, and geographic variation in gradient.  
11 However, using data at the state level helps assuage some potential selection concerns. If our  
12 analysis examined whether or not an individual child was placed in a more or less restrictive  
13 environment, we worry that the characteristics determining placement would be associated with  
14 the likelihood of remission. While using state level data on restrictiveness has limitations, it  
15 helps us overcome individual selection issues.

16

17 Second, our measure of special education environment might be capturing other factors. We  
18 interpreted the proportion of students in restrictive placements in a given state as a measure of  
19 access to better special education services. Alternatively, our measure could indicate overall  
20 quality of special education in the region – i.e. the financial and human resource availability of  
21 schools in a state to provide special service, accommodations, and modifications that would cater  
22 special needs of children with disability. We attempt to address this issue by controlling for the  
23 Individuals with Disabilities Education Act (IDEA) determination. Released by the U.S.

1 Department of Education's Office of Special Education and Rehabilitative Services, the IDEA  
2 determination assesses each state's special education performance. We used the IDEA  
3 determination of 2006 (U.S. Department of Education, 2007) and created an indicator variable of  
4 whether a state met the requirements of the statute. Even after the IDEA determination was  
5 included in the models, the effect of inclusive education environment on low SES children's  
6 remission was positive and significant (results available upon request). This suggests that  
7 inclusive education has a direct relationship with the remission rate among low SES children net  
8 of its indirect influence on the remission rate through the effectiveness of overall special  
9 education provision. In addition to this analytic strategy, interpreting our measure of inclusive  
10 environment as overall quality of special education cannot explain the empirical pattern of  
11 geographic variability by SES. That is, if inclusive education environment translates to higher  
12 levels of resources availability and capacity to provide special education services, we would  
13 expect to see higher remission rates among all children in inclusive states compared to their  
14 peers in more restrictive states. The quality-of-service-provision intervention alone cannot  
15 explain the unequal remission rates between low and high SES children in states with more and  
16 less inclusive special education practices.

17  
18 Third, it is also possible that children from more advantaged backgrounds would prefer to  
19 maintain their diagnosis in order to access medication and other benefits afforded by a diagnosis.  
20 If this were the case, we would expect them to have lower remission rates in general. While we  
21 do not observe this, their invariant response to educational environments is consistent with this  
22 explanation. While our study focuses on the benefits of more inclusive environments for lower

1 SES children, further work should examine why these benefits do not accrue to higher SES  
2 children.  
3  
4 Finally, it may be important to note that ADHD can be mis-diagnosed and that individual or  
5 environmental characteristics, such as SES, can drive over- or under-diagnosis (Bruchmuller et  
6 al., 2012; Reyes et al., 2013; Morley 2010)<sup>1</sup>. In this regard, one might be concerned that  
7 misdiagnosis may confound our results. That is, low SES children that do not actually meet the  
8 diagnostic criteria are more likely to be mis-diagnosed with ADHD in inclusive states than in  
9 restrictive states (i.e., false positives), and their higher remission rates in inclusive states may  
10 result from the mis-categorization of low SES children whose behavior only mildly resembles

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<sup>1</sup> Our empirical analyses focus on children who have been diagnosed with ADHD and on how SES is associated with their remission. However, it is important to note that getting a diagnosis in the first place is also likely shaped by sociocultural factors such as SES. Researchers argue that ADHD is often mis-diagnosed and that certain populations are more prone to over- or under-diagnosis (Morley 2010). For example, ADHD diagnosis more prevalent among boys than girls (Bruchmuller et al., 2012). Prevalence rate also differs along racial and socioeconomic lines (Baumgardner et al., 2010; Reyes et al., 2013).

One can think of various factors that may lead to differential diagnosis rate between low- and high- SES children. First, fundamental cause theory posits that low SES family have less resources than high SES ones (Link and Phelan, 1995). Low SES parents might be unable to spend time with their children as much as higher-SES parents. Low SES parents are likely unfamiliar with prevalence, symptoms, and consequences of ADHD. Both the lack of facetime with children and that of information about the disorder make low SES parents ill-equipped to notice behavioral problems of their children. Even if they note some problematic behavior, low SES parents could find it difficult to time and economic resources (i.e., insurance) to seek a diagnosis. Furthermore, even if they decide to bring their children to diagnosticians, they might be unable to adequately deliver their children's problem behavior. All these factors likely lead to underdiagnosis of ADHD among low SES children.

On the other hand, there are a couple of reasons that ADHD in low SES population may be over-diagnosed. Healthcare providers might produce false positive diagnoses for low SES children when they do not adhere to the diagnostic guideline and instead rely on stereotype-based judgment (Bruchmuller et al., 2012; Manos et al., 2017). Teachers can also contribute to the over-diagnosis of low SES children because they might consider low SES children's classroom behavior as problematic and suggest getting a diagnosis to low SES parents.

High-SES children, too, are subject to misdiagnosis. High SES parents can drive underdiagnosis if they are wary of stigma associated with the diagnostic label, and as a result, do want their children to obtain a diagnosis. On the other hand, high SES parents might have a motivation to pursue a diagnosis if they want to boost the academic performance of their children by having their children on stimulants. High SES parents' driving over-diagnosis appears to be plausible given the evidence that false positive rate is higher among high SES children than low SES children (Morley 2010) and that high SES children's medication cycle is closely coupled with academic calendar (King et al., 2014).

1 the symptoms of ADHD. In other words, low SES children diagnosed with ADHD in inclusive  
2 states are easier to “fix” than those in restrictive states.

3

4 Unfortunately, our data do not include information regarding children’s symptoms and their  
5 diagnostic processes. We, however, believe that inclusive education would not be associated  
6 with over-diagnosis of low SES children. First, healthcare professionals, working outside  
7 education systems, have no reason to be more biased in inclusive states to produce false positive  
8 diagnoses. Second, neither inclusive nor restrictive regimes have an incentive to push low-SES  
9 children for diagnosis. If anything, the reason for schools and education systems to push for  
10 diagnosis would be for the ease and effectiveness of classroom management. Such a tendency  
11 toward effective classroom management is likely high when the academic pressure is present. To  
12 address this concern, in our statistical models, we controlled for the presence of academic  
13 pressure at the state level, by including an indicator variable that denotes whether No Child Left  
14 Behind policy increased pressure for academic accountability for the given state around 2007.  
15 Our results show that even after controlling for the presence of academic pressure, inclusive  
16 states had higher remission odds of low SES children.

17

18 Furthermore, for inclusive states, ease of classroom management wouldn’t be an incentive to  
19 push low SES children’s diagnosis at all. Recall that inclusive regimes will place children with  
20 disabilities in regular classrooms to the maximal extent. Given that children most vulnerable to  
21 over-diagnosis would have only mild behavioral problems, they will likely remain in regular  
22 classrooms with their peers even if they obtain ADHD diagnosis. At the other extreme is  
23 restrictive states. We contend that the primary reason that restrictive regimes place special

1 education students in self-contained classrooms is the lack of resources. Note that education  
2 systems are evaluated by the federal agency, and federal funding is allocated according to the  
3 evaluation. One important evaluation criterion is the degree of inclusiveness of special education  
4 provision. Therefore, a concern for losing federal funding likely counteract the incentive to push  
5 for ADHD diagnosis even if the separation of special education children from regular students  
6 may make it easier to manage classrooms. That is, for restrictive states, a concern of losing  
7 federal funding likely triumphs a desire for ease of classroom management.

8  
9 This article makes three contributions. First, to our knowledge our study is the first to link  
10 ADHD remission and schooling. Estimates of remission (Agnew-Blais JC et al. 2016; Biederman  
11 et al. 2011; Biederman et al. 2012; Faraone, et al., 2006; Kessler et al. 2005) as well as studies of  
12 behavioral interventions in schools exist (Banerji and Dailey, 1995; Fryxell and Kennedy, 1995;  
13 Kennedy et al., 1997; McLaughlin and Walther-Thomas, 2002; Rea et al., 2002; Waldron and  
14 McLeskey, 1998). However, little attention has been drawn to the context in which treatment and  
15 intervention are delivered. We highlighted special education environments as an important  
16 context in which behavioral treatments takes place. The significance of schooling in treatment of  
17 mental health and behavioral disorders is paramount because children and adolescents spend the  
18 majority of time at school or doing school-related activities and tasks. Second, our findings  
19 contribute to the fundamental cause tradition by empirically examining how an institutional  
20 environment can interact with individual's socioeconomic status to produce gradients in a health  
21 outcome. Finally, our study sheds light on a potential implication of special education regimes in  
22 the reproduction of social inequality. Schools are of critical importance in reducing disparities in  
23 access to treatment because other treatment modalities, such as medication, psychiatric

1 treatment, and family-based interventions, are stratified by socioeconomic status. In our study,  
2 higher SES children are invariant in their response to educational environment suggesting they  
3 may have access to treatment outside of the school system. Institutional environments, on the  
4 other hand, impact low SES children's prognosis, increasing or decreasing disparities in health.  
5 Our study suggests that inclusive special education environments may help reduce mental health  
6 disparities among children and adolescents. Health gradients in children's health can reproduce,  
7 if not amplify, social stratification because they affect other important outcomes such as  
8 educational attainment, occupational choice, and income. Therefore, it is important to ensure that  
9 our institutions provide adequate social arrangements from which children with little family  
10 resources can receive otherwise unavailable care and services.

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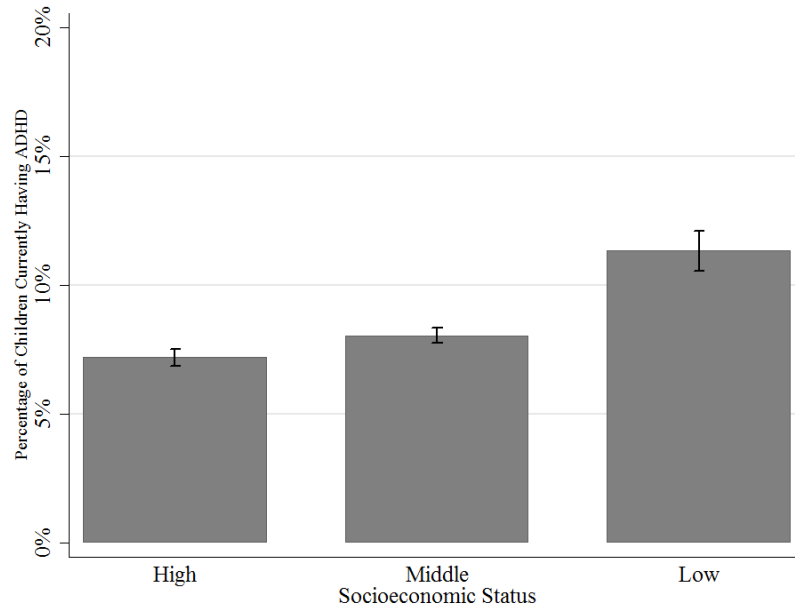
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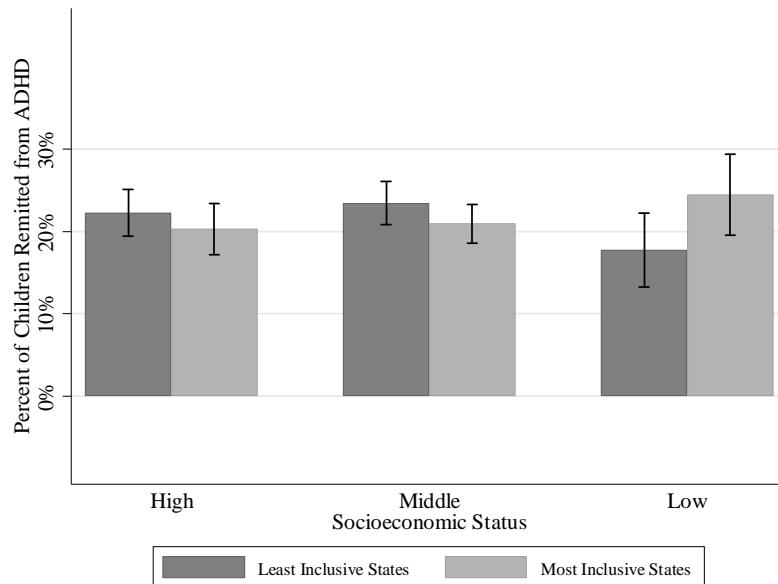
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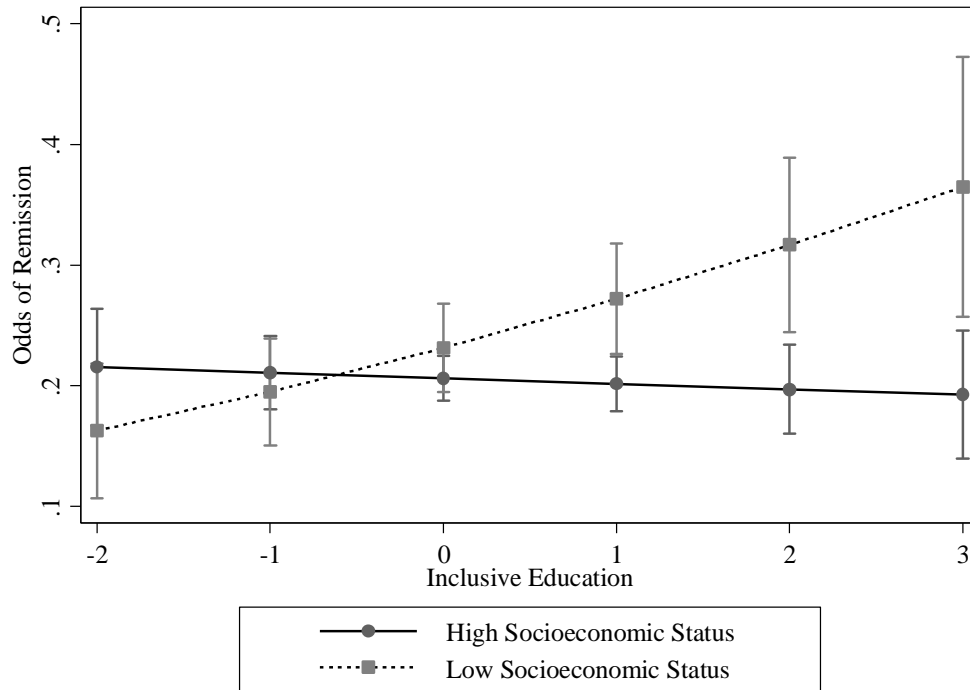
**Figure 1.** Percent of School-aged Children Currently Having ADHD by Socioeconomic Status

Note: Authors' calculation based on data from the National Survey of Children's Health, 2007-2008.

**Figure 2.** Percent of School-aged Children Remitted from ADHD by Socioeconomic Status and Special Education Environment



Note: Authors' calculation based on data from National Survey of Children's Health, 2007-2008. Based on the inclusiveness of special education, we labeled top third of states as most inclusive states. Bottom third of states was coded as least inclusive states. High SES children's remission rates in least and most inclusive states are not statistically different ( $t = -0.92$ ,  $p = 0.179$ ). Low SES children's remission rate is higher in inclusive states than in restrictive states ( $t = 1.97$ ,  $p < 0.05$ ).

**Figure 3.** Odds of Remission by Special Education Environment, Stratified by Socioeconomic Status

Note: Marginal effects from Model 4 shown in Table 1. Middle socioeconomic status was omitted for visualization. Note that inclusive education was standardized ( $M = 0.000$ ;  $SD = 0.999$ ).

**Table 1. Descriptive statistics**

<b>Individual-level variables</b>	Obs.	Mean	Std. Dev.	Min	Max
Remission	5,967	0.220			
Socioeconomic status					
High	5,967	0.348			
Middle	5,967	0.507			
Low	5,967	0.144			
Age	5,967	12.719	3.185	6	17
Sex					
Female	5,967	0.290			
Male	5,967	0.710			
Race					
White	5,967	0.732			
Black	5,967	0.103			
Multiracial	5,967	0.080			
Other	5,967	0.085			
Insurance					
Private	5,967	0.631			
Public	5,967	0.313			
Non (Cash)	5,967	0.056			
Family structure					
Two parent--biological or adopted	5,967	0.515			
Two parent--step family	5,967	0.144			
Single mother--no father present	5,967	0.237			
Other family type	5,967	0.103			
School					
Public	5,967	0.866			
Private	5,967	0.109			
Home-schooled	5,967	0.022			
Not-enrolled	5,967	0.003			
Comorbidity					
Depression	5,967	0.216			
Anxiety	5,967	0.257			
Behavioral disorder	5,967	0.273			
Autism	5,967	0.082			
Developmental problem	5,967	0.214			
Speech disorder	5,967	0.132			
Tourette syndrome	5,967	0.020			
<b>State-level variables</b>	Obs.	Mean	Std. Dev.	Min	Max
Inclusive education (standardized)	5,967	0.000	1.000	-2.141	2.903
State-prohibition of drug recommendation	5,967	0.272			
NCLB as a treatment	5,967	0.389			
IDEA determination (Does not need intervention)	5,967	0.218			
Proportion insured	5,967	0.862	0.039	0.749	0.945
Psychiatrist per 10,000 children	5,967	1.757	1.299	0.696	9.817
Income per capita	5,967	0.123	0.144	0.008	0.711

**Table 2. Factors Associated with the Odds of Remission from ADHD among School-aged Children**

	Model 1	Model 2	Model 3	Model 4	Model 5
	Stratified: High SES	Stratified: Middle SES	Stratified: Low SES	Interaction	Random -intercept Multi-level
Inclusive education (Standardized)	0.989 (0.871)	0.959 (0.463)	1.259** (0.006)	0.969 (0.631)	0.965 (0.572)
Poverty level: 3 Categories (Ref: Above 400%) Middle SES (Above 100% to at or below 400%)				1.138 (0.065)	1.139 (0.090)
Low SES (At or below 100% poverty level)				1.168 (0.270)	1.175 (0.202)
Middle SES X Inclusive education				0.995 (0.929)	1.001 (0.987)
High SES X Inclusive education				1.294** (0.006)	1.290* (0.016)
N	2078	3028	861	5967	5967
Clustered SE	State	State	State	State	No

Note: To measure the inclusiveness of states' education environments, we used each state's proportion of students educated in regular classroom less than 40 percent of their time. We reverse coded the proportions to denote inclusiveness and mean-centered them for easier interpretation. Families with income between 100% and 400% of the Federal Poverty Line (FPL) were coded as Middle SES. Families with income below 100% of the FPL were coded as low SES. High SES (> 400% of the FPL) was omitted. For all models, we included the following individual-level control variables: age, sex, race, insurance, family structure, school, and co-morbidity. We also controlled for state-level covariates: state treatment recommendation ban prior to the federal ban, No Child Left Behind (NCLB) as treatment, proportion of the insured, psychiatrist per 10,000, and income per capita. Exponentiated coefficients are reported; p-values in parentheses. In Models 1, 2, 3, and 4, standard errors are clustered by state.

Exponentiated coefficients; p-values in parentheses

\* p<0.05 \*\* p<0.01 \*\*\* p<0.001

**Research highlights**

- The majority of children experience ADHD remission by adulthood
- Low SES children are less likely to experience remission
- Low SES children's odds of remission are higher in states with inclusive education
- High SES children's remission rates are unaffected by education policies
- Inclusive special education may help alleviate childhood health inequalities